

FORM PTO-1449  
(Rev. 7-80)U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.: SONYJP 3.3-072 CONT

Application No. *at 4*Applicant  
Tatsushi NODA, et al.

International Filing Date: May 20, 1999

Group

## LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

11/17/98  
10569715989  
U.S. PTO

## U.S. PATENT DOCUMENTS

*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
KM	AL	9-186665	7/15/97	Japan				X
KM	AM	0 774 753	5/21/97	EP			X	
KM	AN	9-307826	11/28/97	Japan				X
KM	AO	10-271464	10/9/98	Japan				X
KM	AP	9-162821	6/20/97	Japan				X

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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AS	
AT	

EXAMINER *Meyer*DATE CONSIDERED *5/18/04*

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

4

FORM PTO-1449 (Rev. 7-80)		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. SONYJP 3.3-072 CONT			Application No. a	
LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>				Applicant Tatsushi NODA, et al.				
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	AK							
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
KM	AL	5-328321	12/10/93	Japan				X
KM	AM	11-4420	1/6/99	Japan				X
	AN							
	AO							
	AP							
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
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	AS							
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EXAMINER <i>Murphy</i>		DATE CONSIDERED 5/18/04						
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

				<b>Complete If Known</b>	
				Application Number	09/715,989
				Filing Date	November 17, 2000
				First Named Inventor	Tatsushi Noda
				Art Unit	2661
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONYTA 3.9-072 CONT

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
KM		EP 0 774 753 A2	05-21-1997	Ohishi et al.		**

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

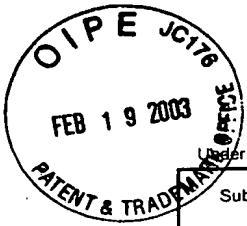
\*\* English Language Counterpart to Chinese language reference CN1156880A.

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Examiner Signature	<i>Murphy</i>	Date Considered	5/18/04
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PTO/SB/08A (10-01)

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U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

### Complete if Known

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Art Unit	2661
Examiner Name	Not Yet Assigned
Attorney Docket Number	SONYTA 3.3-072 CONT

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### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
KM	AA	5,502,497	03-26-1996	Yamaashi et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
KM	BA	EP-0749244A2	12-18-1996	Matsushita Electrical Industrial Co., Ltd.		
KM	BB	EP-0784401A2	07-16-1997	Kabushiki Kaisha Toshiba		
KM	BC	EP-0835029A2	04-08-1998	Sony Corporation		

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Examiner Signature	<i>Mark R. Bailey</i>	Date Considered	5/18/04
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